

# WHO Case Definitions of HIV for Surveillance and

Revised Clinical Staging and Immunological
Classification
of HIV-Related
Disease in Adults
Aged 15 years or Older



#### WHO Case Definition for HIV Infection In Adults

#### Diagnosis of HIV infection is based on laboratory criteria

• Positive HIV antibody testing (rapid or laboratory-based enzyme immunoassay). This is usually confirmed by a second HIV antibody test (rapid or laboratory-based enzyme immunoassay) relying on different antigens or of different operating characteristics

#### OR

 Positive virological test for HIV or its components (HIV-RNA or HIV-DNA or ultrasensitive HIV p24 antigen) confirmed by a second virological test obtained from a separate determination

HIV cases diagnosed and not previously reported in the country should be reported according to a standard national case definition.

## WHO Case Definition for Advanced HIV Infection (Including AIDS) in Adults

Diagnosis of advanced HIV infection (including AIDS) is based on clinical or immunological criteria in an individual with confirmed HIV infection

• Confirmed HIV infection AND presumptive or definitive diagnosis of any stage 3 or stage 4 condition

#### OR

Confirmed HIV infection AND CD4 count less than 350/mm<sup>3</sup>

Cases diagnosed with advanced HIV infection (including AIDS) not previously reported in the country should be reported according to a standard national case definition.

#### WHO Case Definition for AIDS in Adults

AIDS is defined clinically or immunologically in an individual with confirmed HIV infection

 Confirmed HIV infection AND clinical diagnosis (presumptive or definitive) of any stage 4 condition

#### OR

 Confirmed HIV infection AND first ever documented CD4 cell count of less than 200/ mm<sup>3</sup>

AIDS case reporting for surveillance is no longer required if HIV infection or advanced HIV infection is reported.

### WHO Clinical Staging of HIV/AIDS for Adults with Confirmed HIV Infection

#### **CLINICAL STAGE I**

- Asymptomatic
- Persistent generalized lymphadenopathy

#### **CLINICAL STAGE 2**

- Unexplained moderate weight loss (<10% of presumed or measured body weight)<sup>i</sup>
- Recurrent respiratory tract infections (sinusitis, tonsillitis, otitis media and pharyngitis)
- Herpes zoster
- Angular cheilitis
- Recurrent oral ulceration
- Papular pruritic eruptions
- Seborrhoeic dermatitis
- Fungal nail infections

#### **CLINICAL STAGE 3**

- Unexplained severe weight loss (>10% of presumed or measured body weight)
- Unexplained chronic diarrhoea for longer than one month
- Unexplained persistent fever (above 37.5°C intermittent or constant, for longer than one month)
- Persistent oral candidiasis
- Oral hairy leukoplakia
- Pulmonary tuberculosis (current)
- Severe bacterial infections (such as pneumonia, empyema, pyomyositis, bone or joint infection, meningitis or bacteraemia)
- Acute necrotizing ulcerative stomatitis, gingivitis or periodontitis
- Unexplained anaemia (<8 g/dl), neutropaenia (<0.5 × 10° per litre) and/or chronic thrombocytopaenia (<50 × 10° per litre)</li>

#### **CLINICAL STAGE 4<sup>III</sup>**

- HIV wasting syndrome
- Pneumocystis pneumonia
- Recurrent severe bacterial pneumonia
- Chronic herpes simplex infection (orolabial, genital or anorectal of more than one month's duration or visceral at any site)
- Oesophageal candidiasis (or candidiasis of trachea, bronchi or lungs)
- Extrapulmonary tuberculosis
- Kaposi's sarcoma
- Cytomegalovirus infection (retinitis or infection of other organs)
- Central nervous system toxoplasmosis
- HIV encephalopathy
- Extrapulmonary cryptococcosis including meningitis
- Disseminated non-tuberculous mycobacterial infection
- Progressive multifocal leukoencephalopathy
- Chronic cryptosporidiosis (with diarrhoea)
- Chronic isosporiasis
- Disseminated mycosis (extrapulmonary histoplasmosis or coccidiomycosis)
- Recurrent septicaemia (including non-typhoidal Salmonella)
- Lymphoma (cerebral or B-cell non-Hodgkin)
- Invasive cervical carcinoma
- Atypical disseminated leishmaniasis
- Symptomatic HIV-associated nephropathy or symptomatic HIV-associated cardiomyopathy

<sup>i</sup>Assessment of body weight in pregnant woman needs to consider the expected weight gain of pregnancy.

"Some additional specific conditions can also be included in regional classifications (such as reactivation of American trypanosomiasis [meningoencephalitis and/or myocarditis]) in the WHO Region of the Americas and penicilliosis in Asia).

<sup>&</sup>lt;sup>ii</sup>Unexplained refers to where the condition is not explained by other causes.

# Presumptive and Definitive Criteria for Recognizing HIV Related Clinical Events in HIV Infected Adults (Aged 15 years or older with confirmed HIV infection)

INICAL EVENT	CLINICAL DIAGNOSIS	DEFINITIVE DIAGNOSIS	CLINICAL EVENT	CLINICAL DIAGNOSIS	DEFINITIVE DIAGNOSIS	CLINICAL EVENT	CLINICAL D
CLINICAL STAGE I			CLINICAL STAGE 3 con	itinued		CMV disease (other than	
Asymptomatic  Persistent generalized	No HIV related symptoms reported and no signs on examination.  Painless enlarged lymph nodes > I cm, in two or	Not applicable Histology	Severe bacterial infection (e.g. pneumonia, meningitis, empyema, pyomyositis, bone or joint	Fever accompanied by specific symptoms or signs that localize infection, and response to appropriate antibiotic.	Isolation of bacteria from appropriate clinical specimens (i.e. usually sterile sites).	liver, spleen or lymph node).	clinicians. Typic examination: di with distinct be following blood vasculitis, haem
lymphadenopathy (PGL) CLINICAL STAGE 2	more non-contiguous sites (excluding inguinal), in absence of known cause & persisting for ≥3 months		infection, bacteraemia, severe pelvic inflammatory disease )			CNS toxoplasmosis	Recent onset of or reduced level
Unexplained moderate	Reported unexplained involuntary weight loss.	Documented weight loss	Acute necrotizing	Severe pain, ulcerated gingival papillae, loosening of	Clinical diagnosis.		within 10 days
veight loss (<10% of body weight)	In pregnancy failure to gain weight.	<10% of body weight.	ulcerative gingivitis or necrotizing ulcerative periodontitis	teeth, spontaneous bleeding, bad odour, and rapid loss of bone and/or soft tissue.		HIV encephalopathy	Clinical finding
ecurrent upper spiratory tract infections urrent event plus one or ore in last six-months)	Symptom complex, e.g. unilateral face pain with nasal discharge (sinusitis), painful inflamed eardrum (otitis media), or tonsillo-pharyngitis without features of viral infection (e.g. coryza, cough).	Laboratory studies where available, e.g. culture of suitable body fluid.	Unexplained anaemia (<8g/dl ), neutropenia (<0.5 × 10°/L or	Not presumptive clinical diagnosis.	Diagnosed on laboratory testing and not explained by other non-HIV conditions. Not responding to standard		dysfunction into progressing over of a concurrent infection which
lerpes zoster	Painful vesicular rash in dermatomal distribution of a nerve supply does not cross midline.	Clinical diagnosis	chronic (more than one month) thrombocytopenia (<50 ×10°/L)		therapy with haematinics, antimalarials or anthelmintics as outlined in relevant national	Extrapulmonary cryptococcosis (including meningitis)	Meningitis: usus severe headach changes that re
ngular cheilitis	Splits or cracks at the angle of the mouth not attributable to iron deficiency, and usually responding to anti fungal treatment.	Clinical diagnosis.	(30 1072)		treatment guidelines, WHO IMCI guidelines or other relevant guidelines.	Disseminated non-	No presumptiv
ecurrent oral ulceration	Aphthous ulceration, typically painful with a halo of	Clinical diagnosis.	CLINICAL STAGE 4			tuberculous mycobacteri	
two or more episodes in ast six months)	inflammation and a yellow-grey pseudomembrane.  Papular pruritic lesions, often with marked post-	Clinical diagnosis.	HIV wasting syndrome	Reported unexplained weight loss (>10% baseline body weight), with obvious wasting or body mass index <18.5. PLUS either unexplained chronic diarrhoea (loose	Documented weight loss >10% of body weight; PLUS two or more unformed stools negative for pathogens OR	infection Progressive multi focal	No presumptiv
eborrhoeic dermatitis	inflammatory pigmentation.  Itchy scaly skin condition, particularly affecting hairy	Cililical diagnosis.		or watery stools three or more times daily) reported for longer than one month. OR Reports of fever or night sweats for more	Documented temperature of > 37.6 °C or more with no other cause of disease, negative	leukoencephalopathy (PML)	
	areás (scálp, axillae, upper trunk and groin).	Clinical diagnosis.		than one month without other cause and lack of response to antibiotics or antimalarials. Malaria	blood culture, negative malaria slide and normal or unchanged		
nail infections	Paronychia (painful red and swollen nail bed) or onycholysis (separation of the nail from the nail bed) of the fingernails (white discolouration, especially involving proximal part of nail plate – with thickening & separation of nail from nail bed)	Fungal culture of nail/nail plate material.	Pneumocystis pneumonia	must be excluded in malarious areas.  Dyspnoea on exertion or nonproductive cough of recent onset (within the past 3 months), tachypnoea and fever; AND Chest x-ray evidence of diffuse bilateral interstitial infiltrates AND No evidence of bacterial pneumonia. Bilateral	CXR.  Cytology or immunofluorescent microscopy of induced sputum or bronchoalyeolar	Chronic Cryptosporidios	sis No presumptiv
INICAL STAGE 3	,			crepitations on auscultation with or without	lavage (BAL), or histology of lung tissue.	(with diarrhoea lasting more than one month)	
Jnexplained severe weight	Reported unexplained weight loss (>10% of body	Documented loss of more	Deciment course he consist	reduced air entry.	Desirius sulsuus su sasiuss	Chronic Isosporiasis	No presumptiv
ss (more than 10% of dy weight)	weight) and visible thinning of face, waist and extremities with obvious wasting or body mass index < 18.5. In pregnancy weight loss may be masked.	than 10% of body weight.	Recurrent severe bacterial pneumonia (this episode plus one or more episodes in last 6 months)	Current episode plus one or more previous episodes in last 6 months, acute onset (<2 weeks) of severe symptoms (e.g. fever, cough, dyspnoea, and chest pain) PLUS new consolidation on clinical examination or CXR. Response to antibiotics.	Positive culture or antigen test of a compatible organism.	Disseminated mycosis (e.g. coccidiomycosis, histoplasmosis, penicilliosis)	No presumptiv
Jnexplained chronic liarrhoea for longer than one month	Chronic diarrhoea (loose or watery stools three or more times daily) reported for longer than one month.	Not required but confirmed if three or more stools observed and documented as unformed, and two or	Chronic herpes simplex virus (HSV) infection (orolabial, genital or	Painful, progressive anogenital or orolabial ulceration; lesions caused by recurrence of HSV infection and reported for more than one month.	Positive culture or DNA (by PCR) of HSV or compatible cytology/histology.	Recurrent septicaemia (including non-typhoidal salmonella)	No presumptiv
nexplained persistent	Reports of fever or night sweats for more than	more stool tests reveal no pathogens  Documented fever >37.5 °C.	anorectal) of more than one month, or visceral of any duration	History of previous episodes. Visceral HSV requires definitive diagnosis.	Cyclog/mscolog/.	Lymphoma (cerebral or lead non-Hodgkin)	B No presumptiv
ever (intermittent or onstant and lasting for onger than one month)	one month, either intermittent or constant with reported lack of response to antibiotics or antimalarials, without other obvious foci of disease	with negative blood culture, negative Ziehl-Nielsen (ZN) stain, negative malaria slide,	Oesophageal candidiasis	Recent onset of retrosternal pain or difficulty on swallowing (food and fluids) together with oral Candida.	Macroscopic appearance at endoscopy or bronchoscopy, or by microscopy/histology.	Invasive cervical carcinoma	No presumptiv
	reported or found on examination. Malaria must be excluded in malarious areas.	X-ray (CXR) and no other obvious focus of infection.	Extrapulmonary tuberculosis	Systemic illness (e.g. fever, night sweats, weakness and weight loss). Other evidence for extrapulmonary or disseminated TB varies by	M. tuberculosis isolation or compatible histology from appropriate site, together	Atypical disseminated visceral leishmaniasis	No presumptiv
ersistent oral candidiasis	Persistent or recurring creamy white curd-like plaques which can be scraped off (pseudomembranous), or red patches on tongue, palate or lining of mouth, usually painful or tender (erythematous form),	Clinical diagnosis		site: pleural, pericardial, peritoneal, -meningeal, mediastinal or abdominal lymphadenopathy, ostetitis, or miliary TB (diffuse uniformly distributed small miliary shadows or micronodules on CXR). Discrete cervical lymph node <i>M. tuberculosis</i> infection is considered a less severe form of	with compatible symptoms/ signs (if culture/histology is from respiratory specimen then must be other evidence of extrapulmonary disease).	HIV-associated nephropathy HIV-associated	No presumptiv
Oral hairy leukoplakia	Fine white small linear or corrugated lesions on lateral borders of the tongue, which do not scrape off.	Clinical diagnosis		extrapulmonary tuberculosis.	, ,	cardiomyopathy	·
Pulmonary TB (current)	Chronic symptoms: (lasting more than 2-3 weeks) cough, haemoptysis, shortness of breath, chest pain, weight loss, fever, night sweats, PLUS either positive sputum smear OR Negative sputum smear AND compatible chest radiograph (including but not restricted to upper lobe infiltrates, cavitation, pulmonary fibrosis and shrinkage). No evidence of extrapulmonary disease.	Isolation of <i>M. tuberculosis</i>	Kaposi's sarcoma	Typical gross appearance in skin or oropharynx of persistent, initially flat, patches with a pink or violaceous (blood bruise) colour skin lesions that usually develop into plaques or nodules.	Macroscopic appearance at endoscopy or bronchoscopy, or by histology.		

CLINICAL EVENT	CLINICAL DIAGNOSIS	DEFINITIVE DIAGNOS
CMV disease (other than liver, spleen or lymph node).	Retinitis only: may be diagnosed by experienced clinicians. Typical eye lesions on fundoscopic examination: discrete patches of retinal whitening with distinct borders, spreading centrifugally, often following blood vessels, associated with retinal vasculitis, haemorrhage and necrosis.	Compatible histology or CMV demonstrated in CSF culture or DNA (by PCR).
CNS toxoplasmosis	Recent onset of a focal neurological abnormality or reduced level of consciousness AND response within 10 days to specific therapy.	Positive serum toxoplasma antibody AND (if available)single/multiple intracranial mass lesion on neuro-imaging (CT or MRI
HIV encephalopathy	Clinical finding of disabling cognitive and/or motor dysfunction interfering with activities of daily living, progressing over weeks or months in the absence of a concurrent illness or condition other than HIV infection which might explain the findings.	Diagnosis of exclusion: and available) neuro-imaging (Cor MRI)
Extrapulmonary cryptococcosis (including meningitis)	Meningitis: usually sub acute, fever with increasingly severe headache, meningism, confusion, behavioural changes that responds to cryptococcal therapy.	Isolation of Cryptococcus neoformans from extrapulmonary site or positive cryptococcal antig test (CRAG) on CSF/blood
Disseminated non- tuberculous mycobacteria infection	No presumptive clinical diagnosis.	Diagnosed by finding atypi mycobacterial species fron stool, blood, body fluid or other body tissue, excludin lung.
Progressive multi focal leukoencephalopathy (PML)	No presumptive clinical diagnosis	Progressive neurological disorder (cognitive dysfunction, gait/speech disorder, visual loss, limb weakness and cranial nerv palsies) together with hypodense white matter lesions on neuro-imaging or positive polyomavirus J
Chronic Cryptosporidiosis (with diarrhoea lasting more than one month)	No presumptive clinical diagnosis.	Cysts identified on modified ZN microscopic examination of unformed stool.
Chronic Isosporiasis	No presumptive clinical diagnosis.	Identification of Isospora
Disseminated mycosis (e.g. coccidiomycosis, histoplasmosis, penicilliosis)	No presumptive clinical diagnosis.	Histology, antigen detection culture from clinical specimen or blood culture
Recurrent septicaemia (including non-typhoidal salmonella)	No presumptive clinical diagnosis.	Blood culture.
Lymphoma (cerebral or B cell non-Hodgkin)	No presumptive clinical diagnosis	Histology of relevant specimen or for CNS tumours neuroimaging techniques
Invasive cervical carcinoma	No presumptive clinical diagnosis.	Histology or cytology.
Atypical disseminated visceral leishmaniasis	No presumptive clinical diagnosis.	Diagnosed by histology (amastigotes visualized) or culture from any appropri clinical specimen.
HIV-associated nephropathy	No presumptive clinical diagnosis	Renal biopsy
HIV-associated cardiomyopathy	No presumptive clinical diagnosis	Cardiomegaly and evidenc of poor left ventricular function confirmed by echocardiography.

